



## Retirement Investors' Club (RIC)

457/401a Plans

Look *forward* to retirement!

# 401a Roll-in Form

You may request to move money from an existing eligible retirement plan into your RIC 401a account. This is a nontaxable transfer. Please contact your previous plan administrator to determine if you must complete any required forms before the plan will release the funds.

<b>Personal Information</b>	Name _____ Social Security # _____ Last First MI Telephone (work) _____ Telephone (home) _____
<b>Roll-in Instructions</b>	Roll my previous retirement account into my RIC 401a account with: <input type="checkbox"/> Horace Mann <input type="checkbox"/> MassMutual <input type="checkbox"/> TIAA-CREF <input type="checkbox"/> VALIC <input type="checkbox"/> Voya*
<b>Previous Plan Information</b> The previous plan administrator must complete this section	<b>Plan type:</b> <input type="checkbox"/> IRA (Traditional, Rollover, Simple) <input type="checkbox"/> 401(k) <input type="checkbox"/> 401(a) <input type="checkbox"/> 403(b) <input type="checkbox"/> 403(a) <input type="checkbox"/> SEP <input type="checkbox"/> Other qualified plan _____ Previous Employer Name (if applicable) _____ Account Number _____ Approximate rollover amount \$ _____ <b>Previous plan administrator/trustee/custodian authorization</b> I am the Plan Administrator or Trustee for the previous plan named on this form. I affirm that the plan is eligible to make this direct rollover. Signature _____ Date _____ Printed Name _____ Phone # _____ Company Name _____ Fax # _____ Address _____ City, State, Zip Code _____
<b>Participant Signature</b>	I understand that only certain types of distributions are eligible for rollover treatment and that it is solely my responsibility to ensure such eligibility. I understand my transferred funds will be subject to the rules and restrictions of the RIC 401a account. <b>X</b> _____ Signature Date
<b>Submit Form</b>	Please submit this completed form, along with a copy of any forms required by your previous plan Mail to: DAS-HRE Attention: RIC 1305 E. Walnut Des Moines, IA 50319 Fax: 515-281-5102 Scan/email: <a href="mailto:terri.marshall@iowa.gov">terri.marshall@iowa.gov</a>

\*Formerly ING

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Iowa Retirement Investors' Club (RIC) ■ 866-460-4692 ■ <http://das.iowa.gov/RIC>

